

**Competition documentation for an open public competition to receive grants from the Russian Science Foundation for the priority activity of the Russian Science Foundation “Basic scientific research and exploratory scientific research in small groups under the guidance of leading Russian and foreign scientists”**

1. The competition to receive grants from the Russian Science Foundation for the priority activity of the Russian Science Foundation “Basic scientific research and exploratory scientific research in small groups under the guidance of leading Russian and foreign scientists” (hereinafter the competition and grants) shall be conducted in accordance with the Procedure for the competitive selection of research and technical programmes and projects submitted for a competition of the Russian Science Foundation based on the decision of the Management Board of the Russian Science Foundation (hereinafter the Foundation) (Minutes No. 2 dated 5 February 2015).
2. The source of the Foundation’s grants shall be the property contribution of the Russian Federation to the Russian Science Foundation.
3. The grants shall be allocated for scientific and technical programmes and projects as well as basic scientific research and exploratory scientific research (hereinafter the projects and scientific research) in 2015-2017 with the subsequent possible extension of the project completion period by one or two years for the following branches of knowledge:
  - 01 Mathematics, computer science and systems sciences;
  - 02 Physics and space sciences;
  - 03 Chemistry and materials sciences;
  - 04 Biology and life sciences;
  - 05 Basic medical research;
  - 06 Agricultural sciences;
  - 07 Earth sciences;
  - 08 Humanities and social sciences;
  - 09 Engineering sciences.The scientific research (project) must be conducted under the guidance of a leading Russian<sup>1</sup> or foreign<sup>2</sup> scientist (hereinafter the project leader).
4. Projects by research teams may take part in the competition regardless of the project leader’s position, academic degree or citizenship or the form of incorporation or ownership of the organisations with which the project leader and research team members have employment or civil relations.
5. The grants shall be provided to the research team on a free and non-refundable basis according to the competition results under the terms specified by the Foundation via Russian research organisations, Russian higher educational organisations, their branches and international research organisations (interstate and intergovernmental) located on the territory of the Russian Federation on whose core the projects will be implemented (hereinafter the organisation). If a leading Russian scientist is involved in the competition as a project leader, the organisation (branch thereof) may not be located on the territory of Moscow, the Moscow Region, St

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<sup>1</sup> A scientist who is a citizen of the Russian Federation at the time of the announcement of this competition and a tax resident of the Russian Federation in 2014.

<sup>2</sup> A scientist who is not a tax resident of the Russian Federation in 2014 (this may also include a citizen of the Russian Federation).

Petersburg, the Leningrad Region or a constituent entity of the Russian Federation where the leading Russian scientist resides and works.

6. The project leader may not be a scientist who serves as the leader of a project (leader of an area of the organisation's integrated research programme) that was previously supported by the Foundation but not completed as of the application submission date.
7. A scientist who is in any way involved in the implementation of two or more projects (including an area of the organisation's integrated research programme) that were previously supported by the Foundation but not completed as of the application submission date may not be a member of the project research team.
8. The project leader and research team members may be employees of the organisation or other persons hired to implement the project who have employment or civil relations with the organisation over the duration of the practical implementation of the project. The project leader must have employment relations with the organisation at which employment must become full-time for the leader over the entire duration of the practical implementation of the project. The project leader must be physically located in the constituent entity of the Russian Federation where the organisation (branch thereof) is located: in 2015 – at least half of the time from the date on which the agreement with the Foundation was concluded until the end of the year (including periods) and in subsequent years of the agreement's validity – at least 183 days of each calendar year (including periods). The location of the organisation (branch thereof) should be given as the place of employment of the project leader in the employment contract.
9. Over the five years preceding the competition<sup>3</sup>, the project leader must have the following number of publications in peer-reviewed Russian and foreign scientific journals indexed in the Web of Science or Scopus<sup>4</sup>:
  - a) for branches of knowledge 01-07 and 09 specified in clause 3 of this competition documentation – at least seven different publications;
  - b) for branch of knowledge 08 – at least four different publications.
10. The size of one grant shall range from 5 (five) to 10 (ten) million roubles annually<sup>5</sup>.
11. The Foundation may alter the amount of annual funding in the event of a reduction in the size of the Russian Federation's property contribution to the Russian Science Foundation or according to a decision by the Foundation's Management Board adopted based on the results of an expert evaluation of reports submitted on the implementation of the project and the proper use of the grant by its recipient.
12. The proportion of research team members under the age of 39 directly involved in the performance of scientific research shall be at least 50 (fifty) per cent of the total number of research team members over the entire duration of the practical implementation of the project<sup>6</sup>. Each member of the research team shall receive remuneration annually for work performed as part of the project's implementation. The total amount of annual remuneration for a member of the research team may not exceed 30 per cent of the total annual remuneration for all research

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<sup>3</sup> In the period from 1 January 2010 to the publication date of the competition announcement.

<sup>4</sup> The list of publications is given in clause 2.9 of Appendix 1.

<sup>5</sup> The planned funding amount for the project is given in clause 1.8 of Appendix 1. A breach of the terms of this clause shall result in the rejection of the application at any stage.

<sup>6</sup> The research team members are given in clause 1.6 of Appendix 1.

team members<sup>7</sup>. The amount of annual remuneration for research team members under the age of 39 may not be less than 35 per cent of the total annual remuneration for all research team members. The total number of research team members (along with the project leader) may not exceed 10 persons<sup>8</sup>.

13. The project leader shall be entitled as the leader<sup>9</sup> to submit only one application to take part in a particular competition. There shall be no restriction on the number of projects that one organisation may implement.

14. It shall not be permitted to submit to the Foundation a project that is similar in scope to a project which has been concurrently submitted for the competitions of the Foundation or other research foundations or organisations or which is currently being implemented using the funds of foundations or organisations, a government (municipal) objective or development programmes funded by the federal budget. If these conditions are violated, the Foundation shall discontinue funding for the project regardless of its stage of implementation with the simultaneous recovery of the funds paid to the grant recipient in the prescribed manner.

15. The projects supported as a result of the competition may not contain information that constitutes a state secret or other restricted information that is to be protected in accordance with the legislation of the Russian Federation.

16. A mandatory condition for the provision of the grant by the Foundation shall be the undertaking of the following obligations by the research team:

16.1. To make the results of their scientific research part of the public domain in accordance with the legislation of the Russian Federation by publishing them in peer-reviewed Russian and foreign scientific journals.

16.2. To publish<sup>10</sup> the following information in peer-reviewed Russian and foreign scientific journals during the practical implementation of the project:

a) for branches of knowledge 01-07 and 09 specified in clause 3 of this competition documentation – at least twelve publications in journals indexed in the Web of Science or Scopus;

b) for branch of knowledge 08 – at least six publications in journals indexed in the Web of Science or Scopus.

16.3. When publishing the results of any research work performed as part of a project supported by the Foundation, to mention the financial support received from the Foundation and the organisation.

16.4. To confirm summaries for the project being supported and relevant project implementation reports with the publication of the Foundation, including on the Internet.

16.5. To confirm the possible international expert evaluation of the application submitted for the competition.

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<sup>7</sup> Including guarantees established by the legislation of the Russian Federation, deductions on insurance premiums for mandatory pension insurance, for mandatory medical insurance and for mandatory social insurance in the event of temporary disability and in connection with maternity and for mandatory social insurance against accidents at work and occupational diseases.

<sup>8</sup> The number of research team members is given in clause 1.6 of Appendix 1. A breach of the terms of this clause shall result in the rejection of the application at any stage.

<sup>9</sup> The number of other projects where the leader is declared as the investigator shall not be limited. A breach of the terms of this clause shall result in the rejection of the application at any stage.

<sup>10</sup> The number of planned publications is given in clause 1.10 of Appendix 1.

17. Applications for the Foundation's competition shall be submitted by project leaders using the forms in accordance with Appendix 1 to this competition documentation in two forms – electronically (via the Foundation's information and analytical system (hereinafter the IAS)) and in hard copy form (printed from the IAS) whose contents must be identical. Applications shall be submitted to the Foundation in Russian with individual fields of the application forms to be completed in English (only where specifically indicated).
18. Applications shall be completed using the Foundation's IAS on the Internet at the address <http://grant.rscf.ru><sup>11</sup>. After an application has received a registration number in the IAS, the project leader must print and submit one hard copy of the application and additional materials to the Foundation **no later than 12:00 a.m. (Moscow time) on 20 April 2015** at the address: Moscow, GSP-2, 109992, ul. Solyanka, d. 14, str. 3. The project leader shall independently choose the method for delivering the application materials to the Foundation that ensures they are received by the Foundation by the required deadline.
19. The hard copy of the application must be bound and affixed with the organisation's seal, while the relevant forms must be personally signed by the project leader, the core members of the research team (primary investigators) and the head of the organisation (authorised representative acting on the basis of a power of attorney)<sup>12</sup>.
20. Applications received by the Foundation shall not be returned.
21. The following shall not be permitted for the competition:
  - a) applications that are completed and/or submitted to the Foundation with violations of the requirements of clauses 17, 18 and 19 of this competition documentation;
  - b) applications that are completed and submitted to the Foundation with violations of the requirements for the content of the application to take part in the competition set forth in the announcement on the competition and the competition documentation;
  - c) applications whose hard copy versions differ from the electronic version registered in the IAS;
  - d) applications whose hard copy versions were received by the Foundation after the expiration of the deadline for their submission;
  - e) applications whose information violates the requirements of clauses 5-7, 9, 10, 12-16, 32, 34 and 36.
22. The Foundation shall notify the project leader via the IAS about the registration of the application, the receipt of the hard copy of the application and the results of the competition.
23. The project leader shall be entitled to withdraw an application submitted for a competition provided that the relevant written notification is submitted to the Foundation.
24. The project leader shall be entitled to make changes to an application submitted for a competition only in the form of its withdrawal in accordance with clause 23 of this competition documentation and the submission of a new application for the competition by the required deadlines.
25. Applications eligible for the competition shall undergo an expert evaluation in accordance with the Procedure for the expert evaluation of scientific and technical programmes and projects submitted for a competition of the Russian Science Foundation and the Criteria for the

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<sup>11</sup> The application completion cycle consists of the following stages: the registration of the applicant (if this was not done earlier, including the individual registration of the project leader and up to three core research team members), completion of information about the applicant in the IAS, the preparation of the application and the registration of the application.

<sup>12</sup> In the event the application is signed by an authorised representative, a power of attorney (copy of a power of attorney certified by the organisation's seal) shall be attached to the hard copy version of the application.

competitive selection of scientific and technical programmes and projects submitted for a competition of the Russian Science Foundation<sup>13</sup>. Applications shall be referred for an expert evaluation in accordance with the classifier codes for the branches of knowledge specified by the project leaders in the applications.

26. The competition results shall be approved by the Foundation's Management Board by 10 July 2015.
27. A list of supported projects based on the competition results shall be published on the Foundation's website no later than 10 days after the competition results are determined.
28. Within 15 business days after the approval date of the competition results, the leaders of projects supported by the Foundation shall be sent via the IAS system the texts of the agreements for completion and signing in which the following information shall be indicated:
  - the area of scientific research;
  - the work plan and cost estimate of scientific research;
  - the duty of the project leader to submit an annual report on the project's implementation;
  - the duty of the organisation to provide the research team with the necessary facility, equipment and supplies as well as access to the available experimental base for scientific research;
  - the duty of the organisation to conclude civil or employment (fixed-term) contracts with each member of the research team<sup>14</sup>;
  - the duty of the organisation to pay research team members remuneration for work performed as part of the project under written orders from the project leader;
  - the duty of the organisation to maintain separate records of grant expenditures and present reports on its proper use;
  - the duty of the organisation to submit a report on the proper use of the grant;
  - the conditions and procedure for the parties to terminate the agreement, including in the event the Foundation identifies the misuse of the grant;
  - other rights and duties of the Foundation, the project leader and organisation associated with the use of the grant in compliance with the provisions of this competition documentation.
29. The amount of the Foundation's financial support for the project in the agreement may be reduced compared with the amount requested by the project leader in accordance with the decision of the Foundation's Management Board adopted on the basis of recommendations from the Foundation's Expert Council.
30. The Foundation may not conclude an agreement if its head is different compared to the materials of the relevant application that has undergone the expert evaluation procedure.
31. Within 15 business days after receipt via the IAS system of a draft agreement, the agreement signed by the Russian team leader and authorised representative of the organisation or a substantiated refusal by the project leader or organisation to sign the agreement must be submitted to the Foundation. The project leader shall independently choose the method for delivering the signed agreement to the Foundation that ensures it is received by the Foundation on time. If this deadline is violated, the Foundation shall notify the project leader and organisation about the impermissible delay with the signing of the agreement. If the duly signed agreement is not received by the Foundation within the following 5 business days, the relevant project shall be excluded from the list of projects supported by the Foundation with the publication of a message about this on the Foundation's official website.
32. The grant may be used solely for the purposes specified in the agreement.

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<sup>13</sup> The documents were published on the Internet at the address <http://rscf.ru/fonddocs>.

<sup>14</sup> If such contracts were not previously concluded.

33. The identification of the misuse of the grant shall constitute grounds for the termination of the agreement and the return of the grant in the manner prescribed by the agreement.
34. The rights to the results of intellectual activity created when implementing the project shall belong to the investigators of this project. The Russian Federation may use for government needs the results of intellectual activity created when implementing the project on the conditions of a royalty-free, ordinary (non-exclusive) licence granted by the right holder to the relevant government customer with the payment of remuneration by the government customer to the authors of the results of the intellectual activity.
35. The organisation shall be responsible for the proper use of the grant.
36. The organisation's overhead may not exceed 10 per cent of the amount of the grant.

## Form "C". Cover sheet of application to the Russian Science Foundation

## 2015 competition "Basic scientific research and exploratory scientific research in small groups under the guidance of leading Russian and foreign scientists"

Project name	Project number	
	Project type: IRT	
	Branch of knowledge:	
	Main classifier code:	
	Additional classifier codes:	
	SRSTI code:	
Surname, name and patronymic (if available) of the project leader:	Contact telephone number and e-mail of the project leader:	
Full and abbreviated name of the organisation via which the project is to be funded:		
Amount of project funding (thousand roubles) in 2015 – _____	Start year of project: 2015	End year of project: _____
Surnames, names and patronymics (if available) of the primary investigators <i>(in full)</i>		
	<i>(project leader not indicated in this box)</i>	
I hereby guarantee that no copyrights or other rights of third persons were violated when preparing this application and/or the right holders hereby consent to the submission of materials to the Foundation and their use by the Foundation for an expert evaluation and for publication (in the form of summaries to the applications).		

Signature of project leader _____/_____/_____  Signature _____ I certify _____/_____/_____ place seal here	Application registration date
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**Information about the project**

- 1.1. Project name  
*in Russian*  
*in English*
- 1.2. Priority area for the development of science, technology and engineering in the Russian Federation and critical technology<sup>15</sup>:
- 1.3. Keywords (no more than 15 terms cited)  
*in Russian*  
*in English*
- 1.4. Project summary<sup>16</sup> (no more than 2 pages, including briefly the relevance and scientific novelty)  
*in Russian*  
*in English*
- 1.5. The anticipated results and their significance<sup>17</sup> (indicate the anticipated results and their scientific and social significance (assessment of the compliance of the planned results with the international standards of research and the ability for the practical use of the planned results of the project in the economy and social sector)  
*in Russian*  
*in English*
- 1.6. The research team members<sup>18</sup> shall include:  
 \_\_\_ investigators of the project<sup>19</sup> (including leaders), including  
 \_\_\_ investigators under the age of 39, including:  
 \_\_\_ full-time post-graduate students, junior scientific assistants, interns, residents and undergraduate students.
- 1.7. The planned research team members indicating the surnames, names and patronymics (if available) of the team members, their age at the time of the application's submission, their academic degrees, positions, primary workplaces and forms of relations with the organisation (employment contract, civil contract) during the project implementation period.
- 1.8. The planned project funding amount by year (given in thousand roubles): 2015 – \_\_\_\_\_, 2016 – \_\_\_\_\_, 2017 – \_\_\_\_\_<sup>20</sup>.

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<sup>15</sup> Specified according to the list (Decree No. 899 of the President of the Russian Federation dated 7 July 2011) in the event that the subject of the project can be classified under one of the priority areas and contribute to the development of the critical technologies of the Russian Federation.

<sup>16</sup> This information may be published on the Foundation's website on the Internet.

<sup>17</sup> This information may be published on the Foundation's website on the Internet.

<sup>18</sup> Any discrepancy in research team members with the requirements of clauses 3 and 12 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>19</sup> Regardless of whether the investigator has employment or civil relations with the organisation.

<sup>20</sup> Any discrepancy in the planned project funding amount with the requirements of clause 10 of the competition documentation shall constitute grounds to deny an application for the competition.

- 1.9. Based on the project results and during its implementation, the research team plans to publish<sup>21</sup> in peer-reviewed Russian and foreign scientific journals at least \_\_\_ publications, including
- \_\_\_ in journals indexed in the Web of Science or Scopus;
  - \_\_\_ in Russian language publications taken into account by the Russian Science Citation Index;
  - \_\_\_ monographs.
- 1.10. The number of publications by research team members over the five years<sup>22</sup>, preceding the competition, \_\_\_, including \_\_\_ – published in journals indexed in the Web of Science or Scopus,
- 1.11. The planned involvement of a research team in international collaborations (projects) (if any).

The project leader confirms that

- he will have employment relations with the organisation over the entire duration of the project's implementation;
- he will be physically located in the constituent entity of the Russian Federation where the organisation (branch thereof) is located in 2015 – at least half of the validity of the agreement with the Foundation (including periods) and in subsequent years of the agreement's validity – at least 183 days of each calendar year (including periods);
- when publishing the results of any research work performed as part of a project supported by the Foundation, he and his research team shall mention the financial support received from the Foundation and the organisation and they also consent to the Foundation publishing summaries and the anticipated results of the project and the relevant reports on the project's implementation, including on the Internet;
- other than the grant from the Foundation, the project shall not have any other funding sources over the entire duration of the practical implementation of the project using the grant from the Foundation;
- the project is not similar in scope to any project submitted concurrently for the competitions of research foundations and other organisations;
- the project contains no information that constitutes a state secret or other restricted information that is to be protected in accordance with the legislation of the Russian Federation;
- the proportion of research team members under the age of 39 shall be at least 50 per cent of the total number of research team members over the entire duration of the practical implementation of the project;
- he will submit an annual report on the project's implementation;

### **Signature of the project leader**

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<sup>21</sup> Data is given for the entire project implementation period. Any discrepancy in the number of publications with the requirements of clause 16.2 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>22</sup> In the period from 1 January 2010 until the publication date of an announcement on the competition.



**Information about the leader**

- 2.1. Surname, name and patronymic (if available)  
*in Russian*  
*surname and initials in English*
- 2.2. Date of birth (*given in figures – date, month, year*)
- 2.3. Citizenship  
 tax resident of the Russian Federation in 2014<sup>23</sup> (Yes/No)  
 Country in which the scientist permanently resides (for leading foreign scientists)  
 Constituent entity (entities) of the Russian Federation<sup>24</sup> in which the scientist resides or works (for leading Russian scientists)
- 2.4. Academic degree, year conferred<sup>25</sup>
- 2.5. Awards and prizes for scientific activities, membership in leading scientific communities (if any) and involvement in the editorial boards of leading peer-reviewed scientific publications (if any).
- 2.6. Primary place of employment at the time of the application's submission – position and full name of the organisation (abbreviated name of the organisation)<sup>26</sup>.
- 2.7. Area of scientific interests – keywords (*no more than 15 keywords*)  
*in Russian*  
*in English*
- 2.8. Area of scientific interests – Foundation classifier codes
- 2.9. A list of publications by the project leader over the five years preceding the competition that confirms compliance with the terms of clause 9 of the competition documentation<sup>27</sup>.  
*in English*<sup>28</sup>  
 The list contains \_\_\_\_ publications in journals indexed in the Web of Science or Scopus.
- 2.10. The main scientific results over the period from 2010 (*the results must be confirmed by information from the application, for example publications*)  
*in Russian*  
*in English*
- 2.11. The total number of publications over the period since 2010, \_\_\_\_, including:  
 \_\_\_\_ – published in journals indexed in the Web of Science or Scopus.

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<sup>23</sup> Any discrepancy between the information contained in clause 2.3 of Form 2 with the requirements of clause 3 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>24</sup> Any discrepancy in the constituent entity of the Russian Federation with the requirements of clause 5 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>25</sup> If there are multiple academic degrees, specify the one that best matches the subject of the project.

<sup>26</sup> The project leader may not necessarily be an employee of the organisation at the time of the application's submission, but must conclude an employment contract with the organisation if victorious in the competition.

<sup>27</sup> It is sufficient to cite references to publications in an amount equal to the threshold established in the competition documentation. Any discrepancy in the number of publications cited in the list and/or numerically in the line below with the requirements of clause 9 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>28</sup> For Russian language names, the information shall be given in Russian with a translation into English. It must also be clear that the same document is not being cited (for example, *add the word "translation"*).

2.12. An additional list of the project leader's publications over the last 5 years<sup>29</sup> (*monographs, legally protected results of intellectual activity, publications in leading peer-reviewed scientific journals, in journals indexed in the Web of Science or Scopus citation systems, no more than 10 publications cited; if published on the Internet a link shall be given to it (mandatory for publications in indexed journals) and the impact factor, if any, of the scientific publication shall be specified (according to the 2013 JCR Science Edition or 2013 JCR Social Sciences Edition).*)

*in English*

2.13. The experience of management with research projects (*specify the name of the foundations (organisations), numbers, project names and completion periods for the last 5 years*).

2.14. Planned involvement in research projects (in any capacity) in 2015. Total number – \_\_\_\_\_, including: management – \_\_\_\_, participation as an investigator – \_\_\_\_, specifically:

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*(also specify the grantors or project customers and funding source, for example – government objective of a founder, grants from the RFBR, RHF, PRF, RSF and other foundations, government contract (customer, programme), other economic contract and other grants and subsidies).*

2.15. The proportion of working time that is to be devoted to the management of this project if victorious in the competition – \_\_\_\_ per cent<sup>30</sup>.

2.16. Involvement in educational activities (*specify information about guidance for post-graduate students and the development and reading of new educational courses at Russian and foreign universities*).

2.17. Mailing address

2.18. Contact telephone number

2.19. E-mail

2.20. Involvement in the project: Project leader

2.21. Files with additional information<sup>31</sup> (*resume and other additional information that may be useful for making a decision on the feasibility of funding for this project in the project leader's opinion*).

*in Russian (separate file) and English*

I have read and agree with the conditions of the Foundation's competition and I also confirm that I intend to fulfil the conditions set forth in clause 8 of the competition documentation. I confirm my participation in the project.

I consent<sup>32</sup> to the processing (including the collection, classification, accumulation, storage, updating, use and destruction) of the personal data I have provided above by the

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<sup>29</sup> Clause is not binding. Publications that indicate the scientific qualification and achievements of the project leader may be cited, except for the publications specified in clause 2.9 of this form.

<sup>30</sup> Means from full-time employment within the framework of employment or civil relations, i.e. employment in spare time from primary work must also be taken into account.

<sup>31</sup> In pdf format, up to 3 megabytes.

<sup>32</sup> In accordance with the requirements of Federal Law No. 152-FZ dated 27 July 2006 "On Personal Data".

Russian Science Foundation (address: Moscow, ul. Solyanka, d. 14, str. 3) for the purpose of conducting an expert evaluation of the application and preparing analytical materials on competitions for the period until the liquidation of the operator (Foundation). I may withdraw this consent in written form.

Identification document \_\_\_\_\_

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*(type, number, issue date, issuing authority, please fill in by hand)*

**Signature of project leader**

**Information about the primary investigator of the project<sup>33</sup>**

- 2.1. Surname, name and patronymic (if available)  
*in Russian*  
*surname and initials in English*
- 2.2. Date of birth (*given in figures – date, month, year*)
- 2.3. Citizenship
- 2.4. Academic degree<sup>34</sup>,  
year conferred
- 2.5. Awards and prizes for scientific activities, membership in leading scientific communities (if any) and involvement in the editorial boards of leading peer-reviewed scientific journals (if any).
- 2.6. Primary place of employment at the time of the application's submission – position and full name of the organisation (abbreviated name of the organisation)
- 2.7. Area of scientific interests – keywords (*no more than 15 keywords*)  
*in Russian*  
*in English*
- 2.8. Area of scientific interests – Foundation classifier codes
- 2.9. The total number of publications over the period since 2010, \_\_\_\_, including:  
\_\_\_\_ – published in journals indexed in the Web of Science or Scopus.
- 2.10. A list of the primary investigator's publications over the last 5 years (*monographs, legally protected results of intellectual activity, publications in leading peer-reviewed scientific journals, in journals indexed in the Web of Science or Scopus citation systems, no more than 10 publications cited; if published on the Internet a link shall be given to it (mandatory for publications in indexed journals) and the impact factor, if any, of the scientific publication shall be specified (according to the 2013 JCR Science Edition or 2013 JCR Social Sciences Edition)*).  
*in English<sup>35</sup>*
- 2.11. The experience of management with research projects and involvement therewith (*specify the name of the foundations (organisations), numbers, project names and deadlines for the last 5 years*).
- 2.12. Planned involvement in research projects (in any capacity) in 2015. Total number – \_\_\_\_\_, including: management – \_\_\_\_, participation as an investigator – \_\_\_\_, specifically:

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*(also specify the grantors or project customers and funding source, for example – government objective of a founder, grants from the RFBR, RHF, PRF, RSF and other foundations, government contract (customer, programme), other economic contract and other grants and subsidies).*

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<sup>33</sup> This form is only to be completed by the primary investigators named in form "C" (up to 3 people).

<sup>34</sup> If there are multiple academic degrees, specify the one that best matches the subject of the project.

<sup>35</sup> For Russian language names, the information shall be given in Russian with a translation into English. It must also be clear that the same document is not being cited (for example, add the word "translation").

- 2.13. The proportion of working time that is to be devoted to the management of this project if victorious in the competition – \_\_\_ per cent<sup>36</sup>.
- 2.14. Involvement in educational activities (*specify information about guiding post-graduate students and the development and reading of new educational courses at Russian and foreign universities*).
- 2.15. Contact telephone number and e-mail.
- 2.16. Involvement in the project: Primary investigator of the project.

I have read and agree with the conditions of the Russian Science Foundation's competition. I confirm my participation in the project.

I consent<sup>37</sup> to the processing (including the collection, classification, accumulation, storage, updating, use and destruction) of the personal data I have provided above by the Russian Science Foundation (address: Moscow, ul. Solyanka, d. 14, str. 3) for the purpose of conducting an expert evaluation of the application and preparing analytical materials on competitions for the period until the liquidation of the operator (Foundation). I may withdraw this consent in written form

Identification document

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*(type, number, issue date, issuing authority, please fill in by hand)*

**Signature of project investigator**

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<sup>36</sup> Means from full-time employment within the framework of employment or civil relations, i.e. employment in spare time from primary work must also be taken into account.

<sup>37</sup> In accordance with the requirements of Federal Law No. 152-FZ dated 27 July 2006 "On Personal Data".



**Information about the organisation (branch thereof)**  
(given for the organisation/branch via which funding is to be provided)

- 3.1. Full name (*given in accordance with registration documents*)
- 3.2. Abbreviated name
- 3.3. Name in English
- 3.4. Form of incorporation (*specify for OKOPF*)
- 3.5. Ownership form (*specify for OKFS*)
- 3.6. Departmental affiliation
- 3.7. INN, OKPO
- 3.8. Address
- 3.9. Actual address
- 3.10. Constituent entity of the Russian Federation<sup>38</sup>
- 3.11. Position, surname, name and patronymic (if available) of the head of the organisation
- 3.12. Contact telephone number
- 3.13. E-mail

The head of the organisation confirms that:

- he has read the conditions of the Foundation’s competition and consents to the funding of the project via the organisation if it is supported.
- the organisation shall meet the obligations for payment of taxes to all budgets and mandatory payments to public extra-budgetary funds, is financially reliable, is not in the process of liquidation or reorganisation, has not been declared insolvent (bankrupt), does not have seized property and its economic activities have not been suspended.
- in the event the application is declared the winner, the organisation shall undertake the following obligations:
  - to conclude civil or employment (fixed-term) contracts with the research team members<sup>39</sup>;
  - to pay the research team members remuneration for the work performed on the project under orders from the project leader;
  - to annually submit a report on the proper use of the Russian Science Foundation’s grant.

The head of the organisation guarantees:

- that the total amount of annual remuneration for a member of the research team shall not exceed 30 per cent of the total annual remuneration for all research team members<sup>40</sup>;

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<sup>38</sup> Any discrepancy in the constituent entity of the Russian Federation with the requirements of clause 5 of the competition documentation shall constitute grounds to deny an application for the competition.

<sup>39</sup> If such contracts have not been previously concluded.

<sup>40</sup> Including guarantees established by the legislation of the Russian Federation, deductions on insurance premiums for mandatory pension insurance, for mandatory medical insurance and for mandatory social insurance in the event of temporary disability and in connection with maternity and for mandatory social insurance against accidents at work and occupational diseases.

- that the amount of annual remuneration for research team members under the age of 39 shall not be less than 50 per cent of the total annual remuneration for all research team members;
- the provision of the necessary facility and access to the available experimental base for scientific research.

**Signature of the project leader**

**Signature of the head of the organisation** (authorised representative acting on the basis of a power of attorney)<sup>41</sup> and **seal of the organisation.**

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<sup>41</sup> If the form is signed by an authorised representative of the organisation (including the head of a branch), the power of attorney shall be attached to the hard copy of the application (copy of the power of attorney certified by the organisation's seal).

**Scope of project**

- 4.1. Scientific problem to be solved by the project  
*in Russian*  
*in English*
- 4.2. Relevance of the problem for the given branch of knowledge and the scientific significance of the problem's solution  
*in Russian*  
*in English*
- 4.3. Specific objective within the problem that the project is to solve and its scope  
*in Russian*  
*in English*
- 4.4. Scientific novelty of the objective, substantiation of the achievability of the solution to the objective and the feasibility of obtaining the planned results  
*in Russian*  
*in English*
- 4.5. Current state of research on the problem and the main areas of research in international science  
*in Russian*  
*in English*
- 4.6. Main international scientific competitors
- 4.7. Proposed methods and approaches, general work plan for the entire project implementation period and the anticipated results (*at least 2 pages; including an indication of the specific anticipated results by year; general plan given with a breakdown by year*)  
*in Russian*  
*in English*
- 4.8. The scientific groundwork on the project available to the research team (*specify previously obtained results as well as programmes and methods developed*)
- 4.9. List of equipment, materials, information and other resources available to the research team to complete the project (*including a description of the need to use them for the project's implementation*).
- 4.10. The working plan for the first year of implementation (*also specify planned business trips as part of the project*).  
*in Russian*  
*in English*
- 4.11. Planned scope of work for the first year for each primary investigator of the project (*including the project leader*).
- 4.12. The specific scientific results anticipated at the end of the first year (*the presentation form must provide the ability to conduct an expert evaluation of the results and assess the degree of completion stated in the draft work plan*).  
*in Russian*  
*in English*
- 4.13. List of equipment, materials, information and other resources for the project's implementation that are planned to be acquired using the Foundation's grant (*including a description of the need to use them for the project's implementation*).

4.14. File<sup>42</sup> with additional information 1<sup>43</sup>

4.15. File<sup>44</sup> with additional information 2 (if the information given in file 1 proves insufficient).

**Signature of the project leader**

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<sup>42</sup> With graphics, photos, drawings and other information about the project scope. In pdf format, up to 3 megabytes.

<sup>43</sup> The text in files with additional information must be provided in Russian. A translation into English shall be required if the applicant deems this information as significant for the expert.

<sup>44</sup> With graphics, photos, drawings and other information about the project scope. In pdf format, up to 3 megabytes.

## Requested funding for 2015

## 5.1. Planned project expenditures

Item No.	Grant expenditure designation	Amount of expenditures (thousand roubles)
	<b>TOTAL</b>	
1.	Remuneration for the project investigators (with allowances)	
2.	Payment for the services of third party organisations	
3.	Expenditures on the purchase of equipment and other property required for the scientific research (including installation, commissioning, employee training and repair)	
4.	Expenditures on the purchase of materials and components for scientific research	
5.	Other expenditures for the implementation of the project	
6.	Organisation's overhead	

## 5.2. Breakdown of planned expenditures

*for item 1* - the amount of remuneration (including the leader, primary investigators and other investigators hired to perform work under the project) is specified, including the guarantees established by the legislation of the Russian Federation, deductions on insurance premiums for mandatory pension insurance, for mandatory medical insurance and for mandatory social insurance in the event of temporary disability and in connection with maternity and for mandatory social insurance against accidents at work and occupational diseases;

*for item 2* – a list of planned contracts (accounts) with third party organisations is provided with an indication of the subject and amount of each contract.

*for item 3* – a list of equipment and other property that is to be purchased and required for the scientific research is provided.

*for item 4* – a breakdown of the planned materials and components is provided.

*for item 5* – other expenditures are provided for the purpose of implementing the project, including for business trips, the payment of communication services and transport services, expenditures **are not broken down**.

*for item 6 – expenditures are not broken down and not substantiated.*

**Signature of the project leader**

**Signature of the head of the organisation** (authorised representative acting on the basis of a power of attorney)<sup>45</sup> and **seal of the organisation.**

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<sup>45</sup> If the form is signed by an authorised representative of the organisation, the power of attorney shall be attached to the hard copy of the application (copy of the power of attorney certified by the organisation's seal).